

Financial Engines Online Privacy Statement

Effective May 18, 2016

We believe that the privacy and security of your personal information is essential. This statement summarizes how Financial Engines uses the information we may collect from visitors to our websites. In this statement, "Financial Engines" means Financial Engines, Inc. and its subsidiary, Financial Engines Advisors LLC. Please read the statement carefully as your interaction with our websites will signify your consent to these practices.

What information is collected during website visits?

Like many websites, our website may automatically collect information about your computer hardware and software which may include your browser type, operating system, access times and your IP address. This information is often contained in "cookies" that filter the content we present to you to make it easier to navigate the site, for example, to filter content based on your browser type, and to help us determine which parts of our sites people are visiting.

Data that tracks use of our websites, tools and features is typically collected by a contracted third-party service provider acting on our behalf.

Will you be required to provide personal information to access the website?

General information about us and our products and services can be accessed without providing personal information such as your name, email address or account information. However, our secure site may require personal information, such as a name, zip code, date of birth or last four digits of a Social Security Number to assure only authorized persons access our services.

What are cookies and how are they used?

Cookies are small amounts of data that are sent to your browser from a Web server and stored on your computer's local storage. Our cookies do not contain any personally identifying information. The information they provide may be used for aggregate statistics. They may be used to determine audience size, measure traffic patterns, analyze clickstreams, or help keep your session secure. If you do not wish to receive cookies, most Internet browsers allow you to turn cookies off so that you do not receive them. If you do turn your cookies off, you will not be able to use some services or features.

Both Financial Engines and third-party service providers we hire may use cookies and other technologies, such as web beacons or pixel tags, in online advertising as described below. We do not share personally identifiable information about you with these third-party service providers, and they do not provide such information to us.

Do we honor "do not track" signals?

Some web browsers incorporate a "Do Not Track" feature that signals to websites you visit that you do not want to have your online activity tracked. How browsers communicate the "Do Not Track" signal is not yet uniform. For this reason, our website does not have the ability to respond to "Do Not Track" signals.

Advertising on non-Financial Engines websites

We may advertise our products and services on websites not affiliated with us. We may contract with third-party advertising companies and social media companies to display these ads, and they may use cookies and similar technologies to optimize the placement of our ads. When we hire third-party online advertising companies, they may provide you with Financial Engines advertisements that may be relevant to your interests, as determined, for example, by your activity on our website. Such advertisements are known as "interest-based advertisements."

We do not control the opt-out mechanisms of these third party ad partners. To avoid tracking by these third party ad partners, you may be able to use the Private Mode on your browser, change your browser settings to reject third-party cookies or add a plug in to your browser to enable a Do Not Track feature – though we have no control over and cannot confirm whether these third parties honor the Do Not Track browser signal. For social media companies, you may be able to adjust your privacy settings to opt-out of interest-based advertisements. Additionally, many advertising companies are members of the Network Advertising Initiative (NAI) or the Digital Advertising Alliance (DAA) which provide opt-outs for member companies at www.networkadvertising.org/choices or www.aboutads.info/choices.

Additional Privacy Information

To learn more about how we protect your non-public personal information:

If you are a current, former or prospective Professional Management Program member: the Privacy Policy Notice can be found online by logging into your Professional Management account and clicking on “Privacy Policy,” or you can request a copy by reaching out to us using the contact information below.

If you are a current, former or prospective Online Advice user: the Online Advice Privacy Policy Notice can be found by logging into your Online Advice account and clicking on “Privacy Policy,” or by visiting www.financialengines.com and clicking on “Legal.”

Changes to this statement

We may change this statement from time to time without notice. Any changes to this statement will be promptly posted here so we encourage you to refer back to this statement regularly. Your use of our websites after any changes have been posted will constitute your agreement to the modified Online Privacy Statement.

Contact

You can reach Financial Engines in the following ways:

Email: support@financialengines.com

Mail: 1050 Enterprise Way, 3rd Floor, Sunnyvale, CA 94089

Phone: (888) 443-8577

Protecting children's privacy online

Our products and services are not marketed to minors and we do not knowingly collect information from anyone under the age of 13.